

Old and New themes in Number Theory

R. Sujatha

TATA INSTITUTE OF FUNDAMENTAL RESEARCH

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Introduction

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ALEXANDRINI
ARITHMETICORVM
LIBRI SEX.
ET DE NVMERIS MVLTANGVLIS
LIBER VNVS.

*Nunc primum Graecè & Latine editi, atque absolutissimis
Commentariis illustrati.*

AVCTORE CLAVDIO GASPAR E BACHETO
MEZIRIACO SEBVSIANO, V.C.



A quatrain from **Rubaiyat** of Omar Khayyam, (translator:
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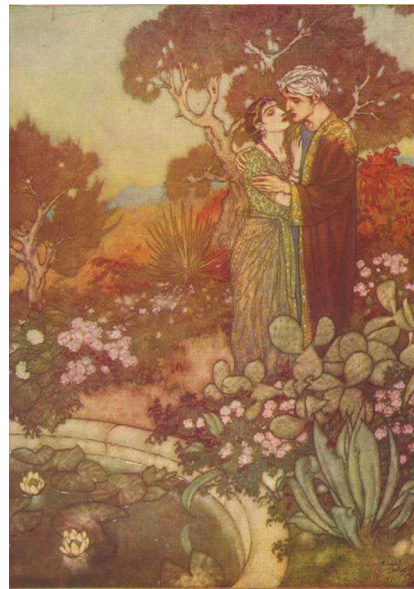
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Around the 17th century, **elliptic integrals** arose in the study of arc lengths of an ellipse.

Associated to this closely was the study of **elliptic functions** studied by Euler, Legendre, Abel, Jacobi...

Euler



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Abel



Of particular interest and relevance here are equations of the form

$$E : y^2 = f(x),$$

where $f(x) \in \mathbb{Q}[x]$ is a **cubic with distinct roots**;

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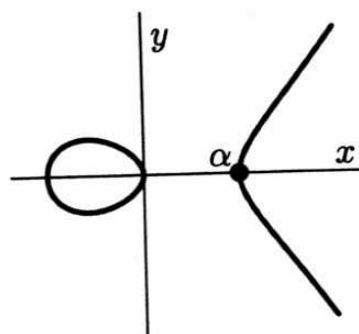
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Viewed as a plane **curve**, its set of real points looks like



A Cubic Curve with Two Real Components

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Problems related to elliptic curves were however studied in a different context from around the 10th century as we shall see below.

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 $g(E/F)$ =**rank of E/F** , an arithmetic invariant.

A deep conjecture due to Birch and Swinnerton-Dyer (**BSD**) predicts that $g(E/F)$ is equal to the order of a conjecturally analytic function $L(E/F, s)$ at $s = 1$.

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Iwasawa theory uses p -adic techniques to bring together the following three different strands of Mathematics with elliptic curves occurring as a common motif:

- (i) Age old arithmetic problems
- (ii) special values of complex zeta and L -functions
- (iii) Algebraic questions concerned with study of modules over Iwasawa algebras of compact p -adic Lie groups

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Important milestone: Work of **Andrew Wiles** (mid 1990's) and others, leading to the proof of **modularity of elliptic curves over \mathbb{Q}** and consequently a proof of **Fermat's last theorem**.

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(a) **Congruent Number Problem**

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Definition: An integer $N > 1$ is **congruent** if N is the area of a right angled triangle, all of whose sides have **rational length**.

Example: 5,6,7,13,14,15,21,22,23,29,30,31,34..... are congruent numbers

- 5 = Area of right angled triangle with sides $(9/6, 40/6, 41/6)$
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Surprisingly, this is really a problem about *elliptic curves*

- Congruent number problem for an integer $N > 1$ leads very naturally to studying elliptic curves of the form

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Lemma: The integer N is congruent \Leftrightarrow there is a point (x, y) on E with x, y rational and y non-zero.

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There is a group that arises naturally in the study of elliptic curves over \mathbb{Q} , namely the **Shafarevich-Tate group**, denoted $\text{Ш}(E/\mathbb{Q})$, defined by

$$\text{Ш}(E/\mathbb{Q}) = \text{Ker}(H^1(\mathbb{Q}, E(\bar{\mathbb{Q}})) \rightarrow \prod_p H^1(\mathbb{Q}_p, E(\bar{\mathbb{Q}}_p))).$$

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- This group parametrizes some curves defined over \mathbb{Q} that become isomorphic to the given elliptic curve E over extension fields of \mathbb{Q}

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- Numerically it is extremely difficult to calculate, but the BSD formula shows numerically that its order is remarkably small.

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We now turn to the next example in cyclotomic fields, due to Kummer.

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- $\zeta(-k) \in \mathbb{Q}$, ($k = 1, 3, 5, \dots$); $\zeta(-k) = -B_{k+1}/(k+1)$, where B_{k+1} is the $(k+1)$ -th Bernoulli number.

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The *class group* of K is an arithmetic invariant attached to K , defined as the group of fractional ideals of the ring of integers in K modulo the principal ideals.

(b): **Kummer's criterion**

Theorem: (Kummer) The prime p divides the class number of $K \Leftrightarrow p$ divides the numerator of at least one of $\zeta(-1), \zeta(-2), \dots, \zeta(4-p)$.

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- Kummer's criterion thus **relates** an arithmetic object namely the class group, to an analytic object, namely the special values of the Riemann zeta function.

The class group has a superficial **analogy** with the Tate-Shafarevich group.

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- Euler product expression

$$L(E, s) = \prod_p (1 - a_p p^{-s} + (p^{1-2s}))^{-1}, \operatorname{Re}(s) > 3/2$$

- Dirichlet series expression $L(E, s) = \sum_{n=0}^{\infty} a_n / n^s$.

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A deep and important result is that $L(E, s)$ has an **analytic continuation** to the whole complex plane.

Birch and Swinnerton-Dyer conjecture

Conjecture: The rank $g(E/\mathbb{Q})$ = order of vanishing of $L(E, s)$ at $s = 1$.

In particular, $E(\mathbb{Q})$ is infinite $\Leftrightarrow L(E, s)$ vanishes at $s = 1$.

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For the CNP, with $N \equiv 5, 6, 7 \pmod{8}$, and for the curves $E : y^2 = x^3 - N^2x$, the theory of L -functions shows that $L(E, s)$ has an **odd order zero** at $s = 1$.

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- **Basic Idea:** To seek a simple connection between special values of L -functions and arithmetic over certain **infinite Galois extensions** F_∞ of \mathbb{Q} . This is precisely the content of the **Main Conjecture**.

From this perspective, the BSD conjecture seems very natural; can see how points of infinite order over \mathbb{Q} give rise to a zero of multiplicity $g(E/\mathbb{Q})$, of a **p -adic analogue** of $L(E, s)$.

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- $G = \text{Gal}(F_\infty/\mathbb{Q})$; then G is a compact p -adic Lie group.

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If E does not have complex multiplication, then $G \simeq$ open subgrp of $GL_2(\mathbb{Z}_p)$ (**Serre**).

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Here G' runs over open normal subgroups of G and the inverse limit is taken with respect to the natural maps of the corresponding group rings.

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This enables one to study modules over $\Lambda(G)$ quite generally using techniques from **dimension theory** and **homological algebra**.

Return to Arithmetic

Fundamental idea: Find a module over the Iwasawa algebra which **simultaneously** reflects both the arithmetic of E and the special values of the complex L -function attached to E .

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This is a **finitely generated** module over the corresponding Iwasawa algebra $\Lambda(G)$, with $G = \text{Gal}(F_\infty/F)$.

There is an exact sequence of $\Lambda(G)$ -modules

$$0 \rightarrow \coprod E(\widehat{F_\infty})(p) \rightarrow X_p(E/F_\infty) \rightarrow \mathrm{Hom}(E(F_\infty) \otimes \mathbb{Z}_p, \mathbb{Z}_p) \rightarrow 0.$$

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This is exactly where the **Main Conjecture** intervenes.

Main Conjecture in Iwasawa theory

- Attach an **algebraic** and **analytic** invariant to $X_p(E/F_\infty)$.

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- The statement of the Main Conjecture is that these two invariants are **equal**.

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$E(\mathbb{Q})$ infinite $\Rightarrow L(E, 1) = 0$.

Assuming $\square\square (E/\mathbb{Q})$ finite, $L(E, 1) = 0 \Rightarrow E(\mathbb{Q})$ infinite.

Noncommutative case

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- In joint work with Coates and Schneider, we prove an analogue of the structure theorem for modules over certain noncommutative Iwasawa algebras.
- Later formulated a precise Main conjecture for the noncommutative case in joint work with Coates, Fukaya, Kato and Venjakob.

- A novelty in the noncommutative case is the use of **algebraic K -theory**; the algebraic and analytic invariants are elements of $K_1(R)$, where R is a noncommutative localisation of $\Lambda(G)$.

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- Existence of an interesting **Ore set** makes such a localisation possible.

The noncommutative theory has a richer structure because of the existence of **infinite families** of self-dual irreducible Artin characters of G .

Applications and Examples

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Let m be any integer > 1 , always assumed p -power free.

Define

$$L_n = \mathbb{Q}(m^{1/p^n}), \quad K_n = \mathbb{Q}(\mu_{p^n}), \quad F_n = \mathbb{Q}(\mu_{p^n}, m^{1/p^n}),$$

$$F_\infty = \bigcup_{n \geq 0} F_n, \quad G = \text{Gal}(F_\infty/\mathbb{Q}).$$

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Also known that for an elliptic curve E defined over \mathbb{Q} , the twisted complex L -functions $L(E, \rho_n, s)$ are entire; this uses deep results from Automorphic theory.

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$$E/\mathbb{Q} = y^2 + y = x^3 - x^2, \text{ conductor}=11.$$

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The modularity of this curve was discovered in the 19th century by **Fricke-Klein**.

Corresponding cusp form of weight 2 for $\Gamma_0(11)$ is

$$f(\tau) = q \prod_{n=1}^{\infty} (1 - q^n)^2 \prod_{n=1}^{\infty} (1 - q^{11n})^2, \quad q = e^{2\pi i \tau}.$$

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This is very similar to other modular forms studied by **Ramanujan** who had different arithmetic questions in mind.

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Theorem: For **every** $m > 1$, we have

$$g(E/L_n) \geq n, \quad (n = 1, 2, 3 \dots)$$

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Surprisingly, Iwasawa theory even gives sometimes an **upper bound** for the ranks.

Theorem: Assume m is any 7-power free integer with prime factors in the set $\{2, 3, 7\}$. Then for all $n = 1, 2, 3, \dots$, we have

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This example is a special case of a more general theoretical result on $X_p(E/F_\infty)$; uses the philosophy of the noncommutative main conjecture.